
UBT Invest NZ Funds

Annual Report

For the accounting period ending 31 March 2022

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1. Details of scheme

- Name: UBT Invest NZ Funds (“Scheme”)
- Type: Managed Investment Scheme
- Manager: Implemented Investment Solutions Limited (“we”, “us”, “our”)
- Supervisor: Public Trust
- Product Disclosure Statement (“PDS”): The latest PDS is dated 20 May 2022. The offer is open for applications.
- Fund Updates: The latest fund update for the one fund in the Scheme was dated 3 May 2022 and was for the period ended 31 March 2022.
- The financial statements, including the auditor’s report, for the UBT Invest NZ Retail Fund (which makes up the scheme) for the year ending 31 March 2022 have been lodged on the Disclose Register.

2. Information on contributions and scheme participants

The number of managed investment products on issue at 1 April 2021 was 1. The number of managed investment products on issue at 31 March 2022 was 1. The units on issue for the managed investment product was:

Managed Investment Product	Units on issue at 1 April 2021	Units on issue at 31 March 2022
UBT Invest NZ Retail Fund	61,098,787	73,259,914

3. Changes relating to the scheme

There have been no material changes to the governing documents or to the terms of the offer of the managed investment products over the accounting period.

There have been no material changes to the Statement of Investment Policy and Objectives or the management of the Scheme over the accounting period.

There were no related party transactions entered into during the accounting period that were not on arm's-length terms.

4. Other information for particular types of managed funds

The following table provides the redemption unit prices of the managed investment products at 31 March 2021 and 31 March 2022:

Managed Investment Product	Redemption unit price	
	31/3/21	31/3/22
UBT Invest NZ Retail Fund	1.0000	1.0000

5. Changes to persons involved in the scheme

Manager

Implemented Investment Solutions was the manager of the Scheme throughout the accounting period. Elizabeth Maguire ceased appointment as a board member on 31st October 2021. Gareth Fleming was appointed as a board member on 1st November 2021. There were no other changes to directors and key personnel throughout the accounting period. There was no change in control of the manager during the accounting period.

Supervisor

Public Trust was the supervisor of the Scheme throughout the accounting period. Public Trust does not have directors pursuant to the Companies Act 1993 but has board members pursuant to the Public Trust Act 2001. During the Year, the supervisor had no board changes.

Administration Manager

Adminis NZ Limited (“Adminis”) was the administration manager of the Scheme throughout the accounting period.

Investment Manager

Aura Funds Management Pty Limited (“Aura”) was the Investment Manager of the Scheme throughout the accounting period.

Investment Advisor

UBT Marketing Pty Ltd (“UBT”) was the Investment Advisor of the scheme throughout the accounting period, with responsibility for defining the scheme’s investment mandate and selecting an appropriate investment manager(s) for that mandate. UBT was also the distributor of the scheme with responsibility for promoting the scheme and managing client relationships.

Securities registrar

Adminis was the securities registrar of the Scheme throughout the accounting period.

Custodian

Public Trust was the custodian of the Scheme throughout the accounting period.

Auditor

KPMG was the auditor of the Scheme throughout the accounting period.

6. How to find further information

Further information relating to the Scheme and the managed investment product (including the Trust Deed, Scheme Establishment Deed, financial

statements, SIPO, PDS and other material information document) is available on the offer register and the scheme register at www.disclose-register.companiesoffice.govt.nz. A copy of information on the offer register or scheme register is available on request to the Registrar of Financial Service Providers.

You can also obtain the following information free of charge:

Information	How to obtain
Fund information relevant to you	You can inspect documents we hold that are relevant to you, and other documents that are legally required to be provided to you, at our offices during normal business hours, or request an extract of those documents, by written request to us.
Fund updates	Once available, the fund updates for the funds in the scheme will be publicly available from our website (www.iisolutions.co.nz) and can be requested from us.

If you invest directly into the Scheme, we will send you confirmation information relating to your transactions when units are issued to you, as well as when you withdraw or transfer your units and make available to you an annual report in respect of the Scheme.

You will also be sent an annual tax statement, which will include the amount of PIE income allocated to you and the amount of tax paid at your chosen PIR. You will also be asked to confirm your IRD number and PIR.

You can find general information about the Scheme, our management team, and us on our website.

7. Contact details and complaints

Contact details

The manager can be contacted at:

Implemented Investment Solutions Limited
 Level 2, Woodward House
 1 Woodward Street
 PO Box 25003
 Wellington 6140

Attention: Anthony Edmonds – Managing Director
Telephone: (04) 499 9654 or 0800 499 466
Email: contact@iisolutions.co.nz

Please contact Anthony Edmonds with any queries or complaints regarding the Scheme.

The supervisor can be contacted at:

General Manager
Corporate Trustee Services
Public Trust
Private Bag 5902
Wellington 6140

Telephone: 0800 371 471
Email: CTS.Enquiry@PublicTrust.co.nz

Adminis provides securities registrar services and can be contacted at:

Level 1
125 Featherston Street
PO Box 25555
Wellington 6140

Telephone: 04 909 7655

Complaints

Any complaints or problems with the investment should be directed to us (using our contact details above) for resolution through our internal dispute resolution process.

If you are not satisfied with the outcome of your complaint to us, you may refer the matter to the supervisor:

Call: 0800 371 471 during normal business hours
Write to: General Manager Corporate Trustee Services
Public Trust
Private Bag 5902
Wellington 6140

Public Trust is a member of an approved dispute resolution scheme operated by Financial Services Complaints Limited (“FSCL”). If your complaint to Public Trust has not been resolved, you can refer it to FSCL.

Call: 0800 347 257
Write to: Financial Services Complaints Limited
4th Floor, 101 Lambton Quay
PO Box 5967
Wellington 6145

FSCl will not charge a fee to any complainant to investigate or resolve a complaint.

If your complaint is not able to be resolved through our internal dispute resolution process or that of the supervisor you may refer your complaint to the dispute resolution scheme operated by the Insurance and Financial Services Ombudsman, an approved dispute resolution scheme under the Financial Service Providers (Registration and Dispute Resolution) Act 2008. We are a registered financial service provider and member of this Scheme.

The Insurance and Financial Services Ombudsman's service is provided at no cost to you (the scheme will not charge a fee to any complainant to investigate or resolve a complaint).

The contact details for the dispute resolution scheme is:

Insurance and Financial Services Ombudsman
Level 2, Solnet House
70 The Terrace
PO Box 10-845
Wellington 6143

Telephone: 0800 888 202
Email: info@ifso.nz